

BURSA MALAYSIA DERIVATIVES BERHAD

Date: 14 March 2019

Trading Participant Circular: 5/2019

BUSINESS CONTINUITY PLAN (BCP) SIMULATION EXERCISE ON 13 APRIL 2019

CME Globex production trading platform will be made available for Trading Participants (TPs) on **Saturday, 13 April 2019** for TPs to conduct the simulation on their own Disaster Recovery (DR) facilities to evaluate the readiness of their organization's BCP if there is a failure to the trading facilities at their main site. Participation in this exercise is **mandatory** for all TPs unless they have conducted their live BCP test in 2019 or TPs who have their main site and DR site operating simultaneously on a day-to-day basis.

TPs are to make the necessary arrangements with their respective order management system vendors for participation in the upcoming exercise.

Provided herewith is the "Acknowledgement & Participation Confirmation Form". All TPs must complete this form and submit to the following email before **28 March 2019**:

bmdcustomersupport@bursamalaysia.com

In addition, the chronology of planned activities to be carried out for the exercise and the feedback form are provided in this circular. The attached feedback form is to be submitted by TPs after completion of the exercise.

Should you have any queries, please do not hesitate to contact the Derivatives Trading Operations Department.

<u>Name</u>	<u>Contact No.</u>	<u>E-Mail Address</u>
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Thank you.

**DERIVATIVES TRADING OPERATIONS
MARKET OPERATIONS**

Bursa Malaysia Derivatives Berhad 261937-H

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Please submit completed form before 28 March 2019 to: -

Email: bmdcustomersupport@bursamalaysia.com

ACKNOWLEDGEMENT & PARTICIPATION CONFORMATION FORM

SUBJECT: BCP SIMULATIN EXERCISE FOR TRADING PARTICIPANTS

Q1. Will your company be participating in the BCP exercise on 13 April 2019?

Yes No

Q2. If the answer to Q1 is "No", please provide the reason.

Q3. Does your company maintain a DR/backup facility?

Yes No

Q4. If your answer to Q3 is "No", please explain what your contingency plans are in the event that you encounter a failure to your main site facilities.

Person-In-Charge	Contact Number
1)	
2)	

Company Name: _____

Authorized Personnel: _____

Signatory: _____

Date: _____

Day	Time	Activities
Saturday 13 th April 2019	8:30 pm – 9:15 pm	CME Globex ready for connectivity testing. Trading Participants to connect their OMS to CME Globex production trading platform.
	9:15 pm – 9:30 pm	Pre-opening commences. Trading Participants commence testing.
	9:30 pm – 11:30 pm	Continuous Trading Trading Participants commence testing.
	11:30 pm	Testing ends. Trading Participants to complete and send feedback form. Trading Participants to ensure their respective OMS revert to original state as of before the test.

Note: *The time table of activities provided here consists of targeted timings and may be subject to change based on the actual time taken for the recovery process. Any variation to the timings provided here will be duly informed by Derivatives Trading Operations during the exercise.*

Please submit completed form to: -
 Email: BMDCustomerSupport@bursamalaysia.com or
 Fax: 03-2026 3731

TRADING PARTICIPANTS' FEEDBACK FORM

SUBJECT: BCP SIMULATION EXERCISE FOR TRADING PARTICIPANTS ON 13 APRIL 2019

To: Derivatives Trading Operations

We hereby confirmed the following:

No.	Test Requirement	TP's feedback
1.	Connected to CME Globex Production	OK <input type="checkbox"/> No <input type="checkbox"/>
2.	Tested in Pre-Opening and Continuous trading phases 1. Enter buy/sell orders 2. Execute trades 3. Amend orders 4. Delete orders	OK <input type="checkbox"/> No <input type="checkbox"/>
3.	Other comments if any:	

Company Name:		
Responsibility	Name	Signature
Person-In-Charge		
Test Executioner		